

**Assurance Map**  
**Digital & Technology**

<b>First Line of Defence (Do-ers)</b>	<b>Second Line of Defence (Helpers)</b>	<b>Third Line of Defence (Checkers)</b>
<ul style="list-style-type: none"> <li>• IT Security Technologies – devices to filter traffic and protect network, virus control software and domain access rules e.g. Conditional Access and Encryption</li> <li>• Operational procedures and guidance notes</li> <li>• Mandatory Information Governance Staff Training and IT Security Staff Training</li> <li>• Investigation into incidents and breaches</li> <li>• Monitoring &amp; Alerting</li> <li>• Patch Management</li> <li>• System Change Management</li> </ul>	<ul style="list-style-type: none"> <li>• Risk Management Board undertakes monthly review of Corporate Risks</li> <li>• D&amp;T Senior Management Team (SMT) undertakes review of Cluster Operational Risk Register</li> <li>• Information Governance Group</li> <li>• ICT System Risk Assessments</li> <li>• Data Privacy Impact Assessments</li> <li>• Vendor Management</li> <li>• Policy documentation including, Information and Communication Technology (ICT) Acceptable Use Policy and ICT Access Control Policy, Protective Monitoring Policy</li> </ul>	<ul style="list-style-type: none"> <li>• Internal Audit overseen by Audit Risk and Scrutiny Committee</li> <li>• External IT Health Checks for PSN Accreditation</li> <li>• External Penetration testing</li> <li>• External IT Audits</li> </ul>